## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

<b>FORM</b>	8-K
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CURRENT REPORT
Pursuant to Section 13 or 15(d)

of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 1, 2022

## EMBECTA CORP.

(Exact name of registrant as specified in its charter)

**Delaware** (State or other jurisdiction of incorporation)

001-41186 (Commission File Number) 87-1583942 (I.R.S. Employer Identification No.)

Emerging growth company  $\square$ 

1 Becton Drive, Franklin Lakes, New Jersey, 07417-1880 (Address of Principal Executive Offices) (Zip Code)

Registrant's telephone number, including area code: (201) 847-6880

N/A
(Former Name or Former Address, if Changed Since Last Report)
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	Trading	Name of each exchange
Title of each class	Symbol	on which registered
Common Stock, par value \$0.01	EMBC	The Nasdaq Stock Market LLC
		(Nasdag Global Select Market)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) 1-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2).

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

## Item 5.04. Temporary Suspension of Trading Under Registrant's Employee Benefits Plans.

On March 1, 2022, Embecta Corp. ("Embecta") received a notice from the administrator of the Embecta 401(k) Plan (the "Plan"). The notice stated that the BD Common Stock Fund in the Plan will be entering a blackout period due to the pending spin-off of Embecta from Becton, Dickinson and Company ("BD"), which is expected to occur on April 1, 2022. The reason for the blackout period is to facilitate (i) the transfer of participants from the BD 401(k) Plan to the Plan, and (ii) the addition of the Embecta Common Stock Fund and BD Common Stock Fund interests to accounts in the Plan. The blackout period is scheduled to being on March 31, 2022 and end during the week of April 3, 2022. During the blackout period, participants in the Plan will be unable to engage in any transactions in the BD Common Stock Fund or the Embecta Common Stock Fund, including exchange into or out of those funds, loans from, or partial withdrawals of, any portion of their account balances that are invested in those funds, or final distributions from their BD 401(k) Plan accounts if some or all of their account balances under the BD 401(k) Plan are invested in those funds.

During the blackout period, participants in the Plan, Embecta shareholders or other interested parties may obtain, without charge, the actual beginning and ending dates of the blackout period from, and may direct other inquiries about the blackout period to, Fidelity at 1-800-835-5095. During the two-year period following the ending date of the Plan blackout period, Embecta shareholders or other interested parties may obtain, without charge, the actual beginning and ending dates of the blackout period from, and may direct other inquires about the blackout period to Fidelity at 1-800-835-5095.

## Signature

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

EMBECTA CORP.

By: /s/ Gary DeFazio

Gary DeFazio Secretary

Dated: March 4, 2022